# PRIME TIME SEMINAR VENUE: LH-1, DEPARTMENT OF MATHEMATICS, IISC BENGALURU

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## 1 NOTATION

Let  $\mathbb{F}_q$  be a finite field with  $q = p^r$  elements where p a prime number and  $r \in \mathbb{Z}_{>0}$ .

2 SOLUTIONS TO 
$$x^n = u$$

Let N(u) be the number of solutions in  $\mathbb{F}_q$  to the equation  $x^n = u$ . Clearly, if u = 0 then x = 0. So, suppose  $u \neq 0$ . Since  $\mathbb{F}_q^{\times}$  is a cyclic group, with say generator w,  $\mathbb{F}_q \ni u \neq 0$  can be written as  $u = w^k$ . If x is a solution to  $x^n = u$ , then x is non-zero and thus can be written as  $x = w^{\ell}$ . Consequently, x is a solution if and only if  $w^{\ell n} = w^k \Leftrightarrow w^{\ell n - k} = 1 \Leftrightarrow \ell n \equiv k \pmod{q-1}$  if and only

if gcd(n, q-1) | k. To summarise,

$$N(u) = \begin{cases} 1 & , u = 0 \\ d := gcd(n, q - 1) & , u \neq 0 \end{cases}$$

**Lemma 1.** The solution set of  $x^n = u$  is in bijection with the solution set of  $x^d = u$  with  $u \neq 0$ .

**Remark 2.** *I just need that the size of the two solution sets is the same.* 

*Proof.* Let  $u = w^k$ . If  $x \in \mathbb{F}_q$  such that  $x^n = u$ , then  $x^{dd'} = u \Rightarrow (x^{d'})^d = u$  and thus  $x^{d'}$  is a solution to  $x^d = u$ . Conversely, suppose  $x^d = u$  for some  $x \in \mathbb{F}_q$ . As  $d = \gcd(n, q - 1)$ , there are integers  $s, t \in \mathbb{Z}$  such that ns + t(q - 1) = d. Therefore,

$$x^{d} = x^{ns+t(q-1)} = (x^{s})^{n} \cdot 1 = (x^{s})^{n} = u$$

Hence, we have a bijection between the two solution sets. This completes the proof.  $\hfill \Box$ 

This allows us to assume without loss of generality that  $n \mid q - 1$ .

**Lemma 3.** The number of solutions to the equation  $x^n = u$  in  $\mathbb{F}_q$ , denoted by N(u) is given by  $\sum_{\chi^n = 1} \chi(u)$ .

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3 Solutions to  $a_0 x_0^{n_0} + a_2 x_2^{n_2} + \dots + a_r x_r^{n_r} = 0$ 

This section follows Weil's paper [Wei49]. Let  $a_0, a_1, \ldots, a_r \in \mathbb{F}_q$ , and  $n_0, n_1, \ldots, n_r \in \mathbb{Z}$ . We can assume all the  $n_i$ 's are positive (only small modifications is required for negative exponents). We want to find the number of solutions N of the equation

(1) 
$$a_0 x_0^{n_0} + a_1 x_1^{n_1} + \dots + a_r x_r^{n_r} = 0$$

in  $\mathbb{F}_q$ . For each i, let  $d_i = gcd(n_i, q-1)$  and  $N_i(u)$  be the number of solutions of  $x^{n_i} = u$ . If we put  $L(u) = \sum_{i=0}^r a_i u_i$ , we find that

(2) 
$$N = \sum_{L(u)=0} N_0(u_0) N_1(u_1) \cdots N_r(u_r)$$

where the sum is over all tuples  $(u_0, \ldots, u_r)$  such that L(u) = 0.

**Definition 4.** *Fix the map*  $\phi : \overline{\mathbb{F}}_q^{\times} \to \mathbb{C}^{\times}$ . *Let*  $\alpha \in \mathbb{Q}/\mathbb{Z}$  *and*  $n \in \mathbb{Z}_{>0}$  *such that*  $(q^n - 1)\alpha \equiv 0 \pmod{1}$ . *Define*  $\chi_{\alpha,n} : \mathbb{F}_q^{\times} \to \mathbb{C}^{\times}$  *by* 

$$\chi_{\alpha,n}(x) = \phi(x)^{(q^n - 1)\alpha}$$

We can extend this to  $\mathbb{F}_q$  by defining at 0. We do that by

$$\chi_{\alpha,n}(0) = \begin{cases} 0 & , \alpha \not\equiv 0 \mod 1\\ 1 & , \alpha \equiv 0 \mod 1 \end{cases}$$

For n = 1, we will write  $\chi_{\alpha}$  for  $\chi_{\alpha,1}$ 

**Lemma 5.** *If*  $(q-1)\alpha \equiv 0 \mod 1$ *, then*  $\chi_{\alpha,n} = \chi_{\alpha}(\mathbb{N}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(x))$ 

 $\begin{array}{l} \textit{Proof.} \ \chi_{\alpha,n}(x) = \varphi(x)^{(q^n-1)\alpha} = \left(\varphi(x)^{(q-1)\alpha}\right)^{1+q+q^2+\dots+q^{n-1}} = \chi_{\alpha}(x^{1+q+q^2+\dots+q^{n-1}}) = \\ \chi_{\alpha}(\mathbb{N}_{\mathbb{F}_{q^n}/\mathbb{F}_q}(x)). \end{array} \qquad \qquad \Box$ 

**Proposition 6.** The number of solutions to the equation  $x^n = u$  in  $\mathbb{F}_q$ , denoted by N(u) is given by  $\sum_{d\alpha \equiv 0 \mod 1} \chi_{\alpha}(u)$ .

*Proof.* When u = 0, then both sides become 1. When  $u \neq 0$ , then we observe that the right hand side is  $\sum_{\nu=0}^{d-1} \chi_{1/d}(u)^{\nu}$ . So,  $\chi_{1/d}(u)$  is then a d-th root of unity if and only if u is a d-th power in  $\mathbb{F}_q^{\times}$ . This completes the proof.

Using this result, we have

(3) 
$$N = \sum_{\substack{\alpha = (\alpha_0, \dots, \alpha_r) \\ d_i \alpha_i \equiv 0 \mod 1}} \sum_{\substack{u = (u_0, \dots, u_r) \\ L(u) = 0}} \chi_{\alpha_0}(u_0) \cdots \chi_{\alpha_r}(u_r)$$

Notice that L(u) = 0 has  $q^r$  points, and thus the inner sum amounts to  $q^r$  when  $\alpha_0 = \cdots = \alpha_r = 0$ . In fact, if some, but not all, of the  $\alpha_i$ 's are 0, then the sum amounts to 0. To see this, suppose  $\alpha_0, \ldots, \alpha_{s-1}$  are the non-zero ones and  $\alpha_s, \ldots, \alpha_r = 0$ . Then, L(u) = 0 has  $q^{r-s}$  points and the double summation becomes

(4) 
$$q^{r-s} \prod_{i=0}^{s-1} \left( \sum_{u_i} \chi_{\alpha_i}(u_i) \right)$$

and the claim follows from orthogonality of characters. Hence, converts to

(5) 
$$N = q^{r} + \sum_{\substack{\alpha = (\alpha_{0}, \dots, \alpha_{r}) \\ d_{i}\alpha_{i} \equiv 0 \mod 1 \\ 0 < \alpha_{i} < 1}} \sum_{\substack{u = (u_{0}, \dots, u_{r}) \\ L(u) = 0}} \chi_{\alpha_{0}}(u_{0}) \cdots \chi_{\alpha_{r}}(u_{r})$$

We can rewrite the inner sum in a cleaner way. Clearly, the  $u_0 = 0$  terms contribute nothing to the sum. So, just assume  $u_0 \neq 0$ , and let  $u_i = u_0 v_i$  for some  $v_i \in \mathbb{F}_q$ . Then,

$$\sum_{\substack{\mathfrak{u}=(\mathfrak{u}_0,\ldots,\mathfrak{u}_r)\\\mathfrak{u}_0+\mathfrak{u}_1+\cdots+\mathfrak{u}_r=0}}\chi_{\alpha_0}(\mathfrak{u}_0)\cdots\chi_{\alpha_r}(\mathfrak{u}_r)=\sum_{\mathfrak{u}_0\neq 0}\chi_{\alpha_0+\cdots+\alpha_r}(\mathfrak{u}_0)\sum_{1+\nu_1+\cdots+\nu_r=0}\chi_{\alpha_1}(\nu_1)\cdots\chi_{\alpha_r}(\nu_r)$$

Now, depending on whether  $\alpha_0 + \cdots + \alpha_r \equiv 0 \mod 1$ , the outer sum is q - 1 and 0 respectively.

# 4 GAUSS AND JACOBI SUMS

This section follows [Wei49]. If you want to read more about Gauss and Jacobi sums, please refer to [Con][IR90].

Now, for  $\alpha_i(q-1)$ ,  $\alpha_i \not\equiv 0 \mod 1$ , and  $\alpha_1 + \dots + \alpha_r \equiv 0 \mod 1$  we can define

Definition 7 (Jacobi sums).

$$\begin{split} \mathfrak{j}(\alpha) &\coloneqq \sum_{1+\nu_1+\dots+\nu_r=0} \chi_{\alpha_1}(\nu_1) \cdots \chi_{\alpha_r}(\nu_r) \\ &= \frac{1}{q-1} \sum_{\mathfrak{u}_0+\mathfrak{u}_1+\dots+\mathfrak{u}_r=0} \chi_{\alpha_0}(\mathfrak{u}_0) \cdots \chi_{\alpha_r}(\mathfrak{u}_r) \end{split}$$

In terms of Jacobi sums, we can write the number of solutions N of (1) by

(6) 
$$N = q^{r} + (q-1) \sum_{\substack{\alpha = (\alpha_0, \dots, \alpha_r) \\ d_i \alpha_i \equiv 0 \mod 1 \\ 0 < \alpha_i < 1 \\ \alpha_0 + \dots + \alpha_r = 0}} \chi_{\alpha_0}(a_0^{-1}) \cdots \chi_{\alpha_r}(a_r^{-1}) j(\alpha)$$

These Jacobi sums are closely related to other sums called Gauss sums. We will use Gauss sums to compute Jacobi sums further.

**Definition 8.** *Define*  $\psi_q : \mathbb{F}_q \to \mathbb{C}^{\times}$  *by* 

$$\psi_{\mathfrak{q}}(\mathfrak{a}) = e^{2\pi \mathfrak{i} \frac{\operatorname{Tr}_{\mathbb{F}_{\mathfrak{q}}}/\mathbb{F}_{\mathfrak{p}}(\mathfrak{a})}{p}}$$

**Proposition 9.** The character  $\psi_q$  is not trivial, and every additive character of  $\mathbb{F}_q$  is of the form  $a \mapsto \psi_q(ca)$  for some  $c \in \mathbb{F}_q$ .

*Proof.* This follows from Artin's theorem on linear independence of characters.  $\Box$ 

**Definition 10.** Let  $\chi : \mathbb{F}_q \to \mathbb{C}$  be any of the multiplicative character of  $\mathbb{F}_q$  and  $\psi_q$  as defined earlier. Then, the Gauss sum  $g(\chi)$  is

(7) 
$$g(\chi) := \sum_{x \in \mathbb{F}_q} \chi(x) \psi_q(x)$$

It is easy to see that if  $\chi$  is the trivial character then  $g(\chi) = 0$ . So suppose  $\chi \neq 1$ . Then,

**Proposition 11.** 

$$g(\chi)\overline{g}(\chi) = q$$

Proof.

$$\begin{split} g(\chi)\overline{g}(\chi) &= \sum_{y \in \mathbb{F}_q} \sum_{x \in \mathbb{F}_q} \chi(xy^{-1}) \psi_q(x-y) \\ &= \sum_{x \in \mathbb{F}_q} \chi(x) \sum_{y \in \mathbb{F}_q} \psi_q((x-1)y) \\ &= \sum_{0 \neq x \in \mathbb{F}_q} \chi(x) \sum_{0 \neq y \in \mathbb{F}_q} \psi_q((x-1)y) \\ &= \chi(1) \sum_{y \neq 0} 1 + \sum_{x \neq 0, 1} \chi(x)(-1) \\ &= q - 1 + (-1)(-1) \\ &= q \end{split}$$

Now, for  $t \neq 0$ , if we replace x in  $g(\chi)$  by tx, we get

$$g(\chi) = \chi(t) \sum_{x \in \mathbb{F}_q} \chi(x) \psi_q(tx)$$

Using the previous proposition, and interchanging t and x we have

(8) 
$$\chi(\mathbf{x}) = \frac{g(\chi)}{q} \sum_{\mathbf{t} \in \mathbb{F}_q} \overline{\chi}(\mathbf{t}) \overline{\psi}_q(\mathbf{t}\mathbf{x})$$

**Remark 12.** You can see this as the Fourier expansion of  $\chi$  with respect to the additive characters  $\psi_q$ .

Using this in the definition of Jacobi sums, we have

$$\begin{split} \mathfrak{j}(\mathfrak{a}) &= \frac{1}{q-1} \sum_{\mathfrak{u}_0 + \mathfrak{u}_1 + \dots + \mathfrak{u}_r = 0} \chi_{\alpha_0}(\mathfrak{u}_0) \cdots \chi_{\alpha_r}(\mathfrak{u}_r) \\ &= \frac{1}{q^{r+1}} g(\chi_{\alpha_0}) \cdots g(\chi_{\alpha_r}) \sum_t \overline{\chi}_{\alpha_0}(\mathfrak{t}_0) \cdots \overline{\chi}_{\alpha_r}(\mathfrak{t}_r) \sum_{\mathfrak{u}_0 + \dots + \mathfrak{u}_r = 0} \overline{\psi} \left( \sum_i \mathfrak{t}_i \mathfrak{u}_i \right) \end{split}$$

The inner sum being a character sum on the vector space  $(u_0, \ldots, u_r)$  satisfying  $u_0 + \cdots + u_r = 0$  with  $q^r$  elements is either  $q^r$  or 0 depending on whether  $\overline{\psi}$  is trivial or not. The former case happens if and only if all  $t_i$ 's are equal. Indeed, otherwise we can solve equations like  $\sum u_i = 0$  and  $\sum t_i u_i = s$  with  $s \in \mathbb{F}_q$ . Since we have  $\alpha_0 + \cdots + \alpha_r \equiv 0 \mod 1$  by definition of Jacobi sums, we can deduce that

$$\mathfrak{j}(\mathfrak{a}) = \frac{1}{q} g(\chi_{\alpha_0}) \cdots g(\chi_{\alpha_r})$$

After this we are interested to see what happens to the number of solutions when we move from  $\mathbb{F}_q$  to an extension  $\mathbb{F}_{q^{\nu}}$  for some positive integer  $\nu$ . To address this question, we will need an important result of Davenport and Hasse.

**Theorem 13** (Davenport-Hasse theorem).

$$-g(\chi_{\alpha,\nu}) = (-g(\chi_{\alpha}))^{\nu}$$

*Proof.* [Wei49][IR90]

Let  $N_{\nu}$  be the number of solutions of equation (1) in the field  $\mathbb{F}_{q^{\nu}}$ . Consider (1) as the equation of a variety in the projective space  $\mathbb{P}^{r}$  of dimension r over  $\mathbb{F}_{q}$ . Let  $\overline{N}$  be the number of solutions in the projective space, it is related to the number of solutions on the affine space by  $\overline{N}(q-1) + 1 = N$ . Now, plugging this into we get

(9) 
$$\overline{N} = 1 + q + \dots + q^{r-1} + \sum_{\substack{\alpha = (\alpha_0, \dots, \alpha_r) \\ d_i \alpha_i \equiv 0 \mod 1 \\ 0 < \alpha_i < 1 \\ \alpha_0 + \dots + \alpha_r \equiv 0 \pmod{1}} \overline{\chi}_{\alpha_0}(a_0) \cdots \overline{\chi}_{\alpha_r}(a_r) \mathbf{j}(\alpha)$$

Now, if  $\overline{N}_{\nu}$  is the number of points on the variety over  $\mathbb{F}_{q^{\nu}}$ , we shall calculate the series

$$\sum_{\nu=1}^\infty \overline{N}_\nu U^{\nu-1}$$

## 5 WEIL CONJECTURES

We will see how to do this in the special case of (1) when  $n_1 = \cdots = n_r = n$ . Suppose  $n\alpha_i \equiv 0 \mod 1, \alpha_0 + \cdots + \alpha_r \equiv 0 \mod 1, 0 < \alpha_i < 1$ . Let

$$\mu = \mu(\alpha) = \min\{\mu : (q^{\mu} - 1)\alpha_{i} \equiv 0 \mod 1 \ \forall \ 0 \leqslant i \leqslant r\}$$

The extension  $\mathbb{F}_{q^{\nu}}$  such that  $(q^{\nu} - 1)\alpha_i \equiv 0 \mod 1$  are those for which  $\nu$  is a multiple of  $\mu$  and in fact, those are all of them. Choosing a generator for  $\mathbb{F}_{q^{\mu}}$  we can define the characters  $\chi_{\alpha_i}$ , the Gauss sums  $g(\chi_{\alpha_i})$  and the Jacobi sums  $j(\alpha)$ . After this, let  $\chi_{\alpha_i,\lambda\mu}$ ,  $g(\chi_{\alpha_i,\lambda\mu})$ ,  $j_{\lambda\mu}(\alpha)$  the corresponding objects in the extension  $\mathbb{F}_{q^{\nu\mu}}$ . From Davenport-Hasse's theorem and basic checking, we have

(1) 
$$\chi_{\alpha_{i},\lambda\mu} = \chi_{\alpha_{i}}(a_{i})^{\lambda}$$
  
(2)  $g(\chi_{\alpha_{i},\lambda\mu}) = (-1)^{\lambda-1}g(\chi_{\alpha_{i}})^{\lambda}$   
(3)  $j_{\lambda\mu}(\alpha) = (-1)^{(\lambda-1)(r-1)}j(\alpha)^{\lambda}$ 

So,

$$(10) \ \overline{N}_{\nu} = 1 + q^{\nu} + \dots + q^{\nu(r-1)} + \sum_{\substack{\alpha = (\alpha_0, \dots, \alpha_r) \\ d_i \alpha_i \equiv 0 \mod 1 \\ 0 < \alpha_i < 1 \\ \alpha_0 + \dots + \alpha_r \equiv 0 \pmod{1}}} \chi_{\alpha_0, \nu}(\alpha_0^{-1}) \cdots \chi_{\alpha_r, \nu}(\alpha_r^{-1}) j_{\nu}(\alpha)$$

with  $v = \lambda \mu$ . We let  $q = q^{\mu}$  for simplicity of notation. Then, the previous equation becomes (after possibly putting  $v = \lambda$ ) (11)

$$\overline{N}_{\nu} = 1 + q^{\nu} + \dots + q^{\nu(r-1)} + \sum_{\substack{\alpha = (\alpha_0, \dots, \alpha_r) \\ d_i \alpha_i \equiv 0 \mod 1 \\ 0 < \alpha_i < 1 \\ \alpha_0 + \dots + \alpha_r \equiv 0 \pmod{1} } (-1)^{(\nu-1)(r-1)} \left( \chi_{\alpha_0}(\alpha_0^{-1}) \cdots \chi_{\alpha_r}(\alpha_r^{-1}) j(\alpha) \right)^{\nu}$$

Using the identity

$$\sum_{\nu=1}^{\infty} X^{\nu} U^{\nu-1} = \frac{d}{dU} (-\log(1 - XU))$$

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we have

$$\begin{split} &\sum_{\nu=1}^{\infty} \overline{N}_{\nu} U^{\nu-1} = \sum_{i=0}^{r-1} \frac{d}{dU} (-\log(1-q^{i}U)) + (-1)^{r} \sum_{\alpha} \frac{1}{\mu(\alpha)} \frac{d}{dU} (-\log(1-C(\alpha)U^{\mu(\alpha)}) \\ & \text{ where } C(\alpha) = (-1)^{r-1} \chi_{\alpha_{0}}(a_{0}^{-1}) \cdots \chi_{\alpha_{r}}(a_{r}^{-1}) j(\alpha) \end{split}$$

Note that the map  $x \mapsto a^q$  is an automorphism of  $\mathbb{F}_{q^{\mu}}$  which leaves  $a_i$  unchanged. Thus,  $C(q\alpha) = C(\alpha)$ . Thus, in the last sum, the terms corresponding to the sets  $\alpha$  and  $q^{\nu}\alpha$  with  $0 \leq \nu \leq \mu - 1$  are the same and there are  $\mu(\alpha)$  many of them, and hence we can cancel the  $\mu(\alpha)$ .

**Definition 14.** Let V be a variety without singular points, of dimension n, defined over a finite field with q elements. Let  $\overline{N}_{\nu}$  be the number of rational points on V over the extension  $\mathbb{F}_{q^{\nu}}$  of  $\mathbb{F}_{q}$ . Then, we define

$$\mathfrak{Z}(\mathsf{V},\mathsf{U}) := \exp\left(\sum_{\nu=1}^{\infty} \overline{\mathsf{N}}_{\mathsf{k}} \frac{\mathsf{U}^{\nu}}{\nu}\right)$$

From the above discussion, we have

$$\mathfrak{Z}(V, U) = \frac{P(U)^{(-1)^{r}}}{(1-U)(1-qU)\cdots(1-q^{r-1}U)}$$

with  $P(U) = \prod_{\alpha} (1 - C(\alpha)U).$ 

Weil conjectures the following:

**Theorem 15** (Weil's conjectures). *Let* V *be a variety without singular points, and of dimension* n. *Then,* 

- (1) (Rationality)  $\mathfrak{Z}(V, U)$  is a rational function.
- (2) (Functional equation) The function  $\mathfrak{Z}(V, \mathbb{U})$  satisfies the functional equation

$$\mathfrak{Z}(\mathbf{V},\frac{1}{\mathfrak{q}^{\mathfrak{n}}\mathbf{U}}) = \mathfrak{e}\mathfrak{q}^{\mathfrak{n}\chi/2}\mathfrak{U}^{\chi}\mathfrak{Z}(\mathbf{V},\mathbf{U})$$

where  $\epsilon = \pm 1$  and  $\chi$  is the Euler-Poincaré characteristic of V (intersection number of the diagonal with itself on the product V × V).

(3) (Riemann Hypothesis) One can write

$$\mathfrak{Z}(V, U) = \frac{P_1(U)P_3(U)\cdots P_{2n-1}(U)}{P_0(U)P_2(U)\cdots P_{2n}(U)}$$

where  $P_0(U) = 1 - U$ ,  $P_{2n}(U) = 1 - q^n U$  and for  $1 \le h \le 2n - 1$  we have

$$P_h(U) = \prod_{i=1}^{B_h} (1 - a_{h,i}U)$$

where  $\alpha_{h,i}$  are algebraic integers with absolute value  $q^{h/2}$ .

(4) The degree  $B_h$  of  $P_h$  called the Betti numbers of the variety B. Then, the Euler-Poincaré characteristic  $\chi$  can be expressed by

$$\chi = \sum_{h} (-1)^{h} B_{h}$$

### 6 HISTORY OF WEIL CONJECTURES AND LITERATURE

While writing this section, I realised that I cannot do much justice due to my very limited knowledge. I shall instead share this wonderful commentary written by M. Goresky [Gor18], and also refer to the notes by Tamás Szamuely click here for a proper timeline of the progress on the conjectures. I also found the notes by Hindry [Hin12] (in french) very helpful while preparing for this talk.

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